



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/682,896	10/30/2001	Kazuo Nemoto	JP920000341

00877
IBM CORPORATION, T.J. WATSON RESEARCH CENTER
P.O. BOX 218
YORKTOWN HEIGHTS, NY 10598



CONFIRMATION NO. 2332
FORMALITIES LETTER



Date Mailed: 11/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

M.W.
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/17/2002 VTRUONG1 00000031 090468 09682896

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COPY OF PAPER
ORIGINALLY FILED

3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kazuo Nemoto

Serial No.: 09/682,896

Filed: October 30, 2001

For: COMMUNICATION APPARATUS



Docket No.: JP920000341US1

Group Art Unit: 2641

Examiner:

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Being filed herewith are copies of the following:

- A) Notice to File Missing Parts of Non-Provisional Application
- B) Transmittal Letter
- C) Deposit Account Authorization Form
- D) Declaration and Power of Attorney
- E) Recordation Form Cover Sheet
- F) Assignment to IBM
- G) Submission of Priority Document
- H) Certified Japan Priority Application No. 2000-331428
- I) Information Disclosure Statement Transmittal Letter
- J) PTO-1449 Form With 1 Reference Attached
- K) Acknowledgment Postcard

The above items are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

In the event any additional extensions of time are required, please treat this paper as a petition to extend the time as required and charge Deposit Account No. 09-0468.

Respectfully submitted,



Derek S. Jennings - Date December 6, 2001
Reg. Patent Agent/Engineer
Reg. No. 41,473
Tel. No. (914) 945-2144

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598

T
Sector #3

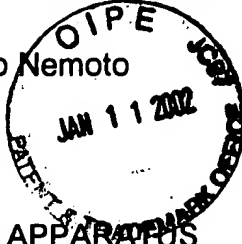
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kazuo Nemoto

Serial No.: 09/682,896

Filed: October 30, 2001

For: COMMUNICATION APPARATUS



Docket No.: JP920000341US1

Group Art Unit: 2641

Examiner:

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Non-Provisional Application
Transmittal Letter
Deposit Account Authorization Form
Declaration and Power of Attorney
Recordation Form Cover Sheet
Assignment to IBM
Submission of Priority Document
Certified Japan Priority Application No. 2000-331428
Information Disclosure Statement Transmittal Letter
PTO-1449 Form with 1 reference
Acknowledgment Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

on December 6, 2001

Maureen Gander

(Type or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

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#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kazuo Nemoto

Date: December 6, 2001

Serial No.: 09/682,896

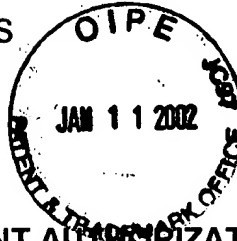
Group Art Unit: 2641

Filed: October 30, 2001

Examiner:

For: COMMUNICATION APPARATUS

Assistant Commissioner for Patents
Washington, DC 20231



DEPOSIT ACCOUNT AUTHORIZATION FORM

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated November 28, 2001, this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Derek S. Jennings".

Derek S. Jennings
Reg. Patent Agent/Engineer
Reg. No.: 41, 473
Tel. No.: (914) 945-2144

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598

Docket No.: YOR920000341US1

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#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kazuo Nemoto

Serial No.: 09/682,896

Filed: October 30, 2001

For: Communication Apparatus



Date: December 6, 2001

Group Art Unit: 2641

Examiner:

Docket No.: JP920000341US1

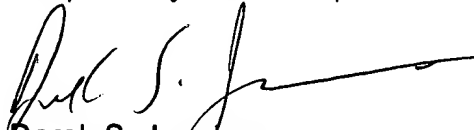
Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Please find enclosed a listing (PTO Form 1449) of references which may be relevant to the above-referenced application. This listing of references is not a representation that a search has been made, that better references than listed do not exist, or that other references are not applicable. Moreover, this listing does not constitute any admission by applicants or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Please charge any fee necessary to enter this paper to deposit account 09-0468.

Respectfully submitted,


Derek S. Jennings
Reg. Patent Agent/Engineer
Reg. No. 41,473
(914) 945-2144

IBM Corporation
Intellectual Property Law Dept.
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Yorktown Heights, New York 10598

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